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Paper No. 56

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In re Application of

Smith et al.

Application No. 08/392,934 International Filing Date:

371 Date: October 28, 1996

Title of Invention:

September 15, 1993

OFFICE OF PETITIONS

: NOTICE REGARDING

: IMPROPER REQUEST : FOR CONTINUED

: EXAMINATION (RCE)

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Background:

Applicant filed a Request for Continued Examination (RCE) under 37 CFR 1.114 on December 18, 2001, in the above-identified application, which is the National Stage of an International Application filed on September 15, 1993. The RCE was improper because the provisions of 37 CFR 1.114 do not apply to an international application filed under 35 U.S.C. 363 before June 8, 1995. See 37 CFR 1.114(e)(3).

A request for continued examination is not a type of new application filing. See Request for Continued Examination Practice and Changes to Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092, 50097 (August 16, 2000). The Office cannot convert an improper RCE to an application, such as a continuing application under 37 CFR 1.53(b) or (d). An improper RCE will not operate to toll the running of any time period set in the previous Office action for reply to avoid abandonment of the application. See Manual for Patent Examining Procedure (MPEP) 706.07(h), page 700-70, subsection III.A., "Treatment of Improper RCE", (8th Ed. 2001).

In the instant case, a final Office action was mailed on June 28, 2001. Under 35 U.S.C. § 133, an applicant has six (6) months to reply to an Office action. Upon failure to prosecute the application within six months of notice of the Office action, the application shall be regarded as abandoned. This statutory requirement may not be waived by the Office. filing of the improper RCE on December 18, 2001, did not toll the time period set forth in the Office action mailed on June 28, 2001. Thus, the application became **abandoned** on **December 29**, **2001** for the failure to reply to the final Office action mailed on June 28, 2001.

The Office, however, mistakenly treated the improper RCE as a proper RCE and reopened the prosecution of the application. A Supplemental Response was filed December 18, 2001. A non-final Office action was mailed on March 8, 2002. A reply to the non-final Office action was filed on August 12, 2002. An <a href="Extraction of Extraction of

Applicants may file a petition under 37 CFR 1.137(b) to revive the application within two (2) months from the date of this Notice. This time period may not be extended. The required reply under 1.137(c) is the issue fee, which was filed on May 22, 2003. The petition must be accompanied by a terminal disclaimer and fee as set forth in 37 CFR 1.321 because the application was filed before June 8, 1995. See 37 CFR 1.137(d).

Any petition under 37 CFR 1.137(b) should be addressed as follows:

By mail: Mail Stop Petition

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

By FAX: (703) 872-9306

Attn: Office of Petitions

By hand: 220 20th Street S.

Customer Window

Crystal Plaza Two, Lobby Room 1B03

Arlington, VA 22202

Telephone inquiries concerning this Notice should be directed to the undersigned at (571) 272-3232.

Attorney Advisor Office of Petitions